

# Maximum Spectral Efficiency Through Parallelized Multiple-Input-Multiple-Output Transmission Using High-Resolution 3D Antenna Topologies (maximumMIMO)

Project of the DFG Priority Programm 1655: Wireless 100Gb/s and beyond

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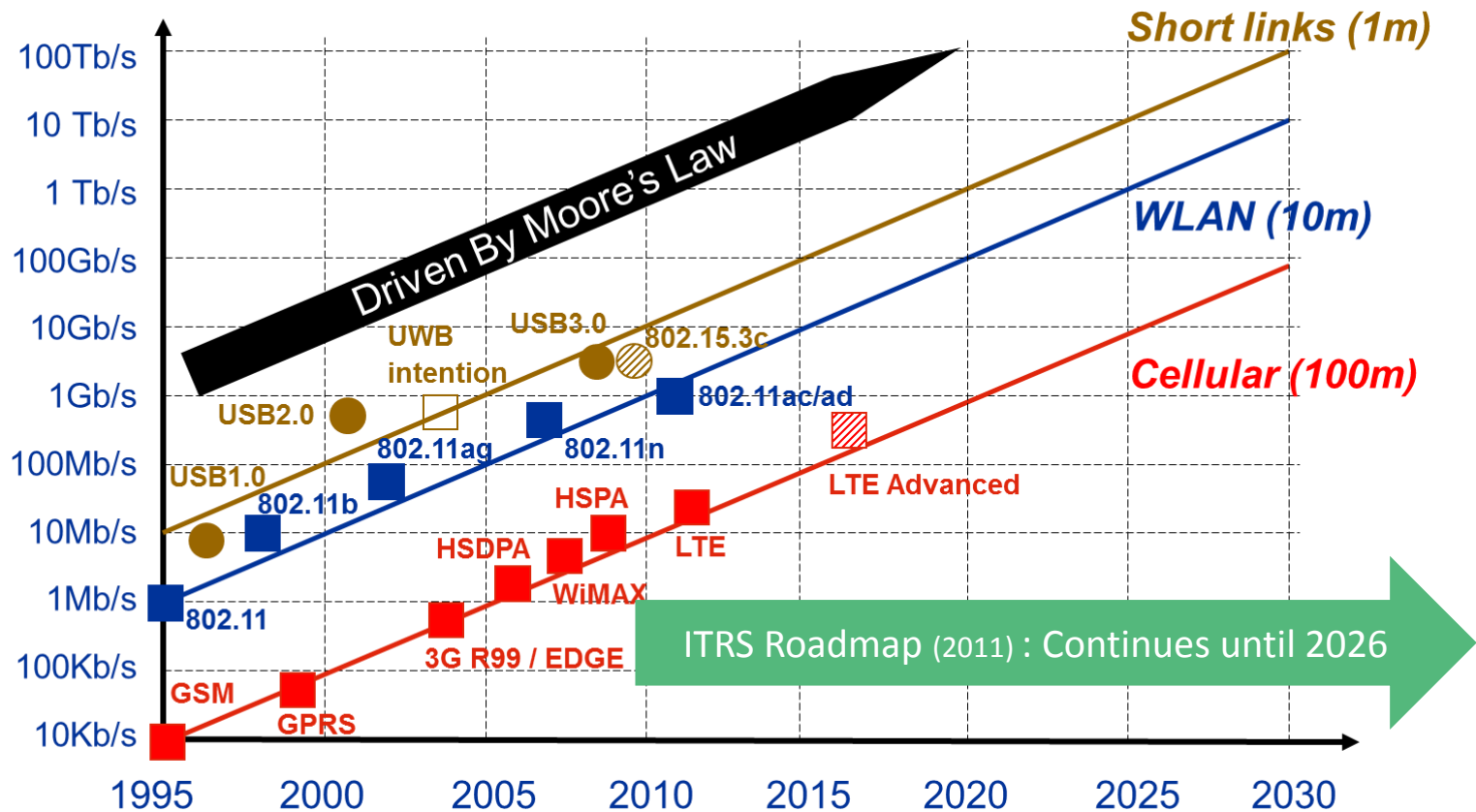
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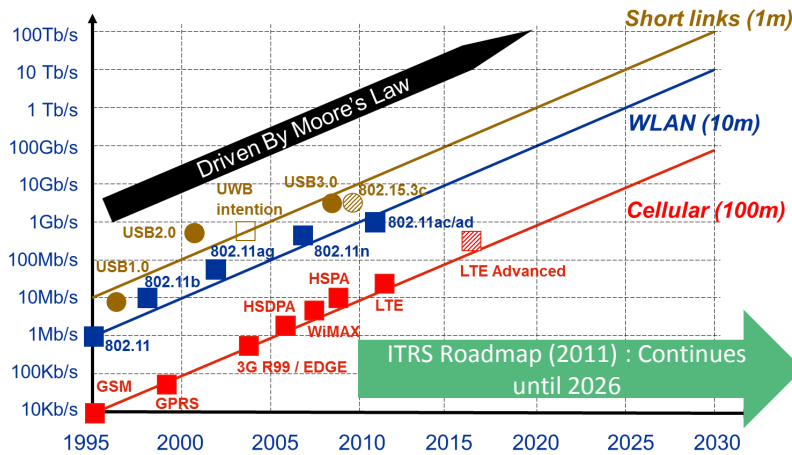
# Application Scenarios and Approach

The Wireless Roadmap

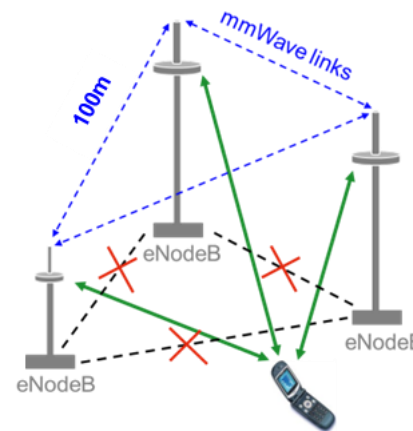


# Application Scenarios and Approach

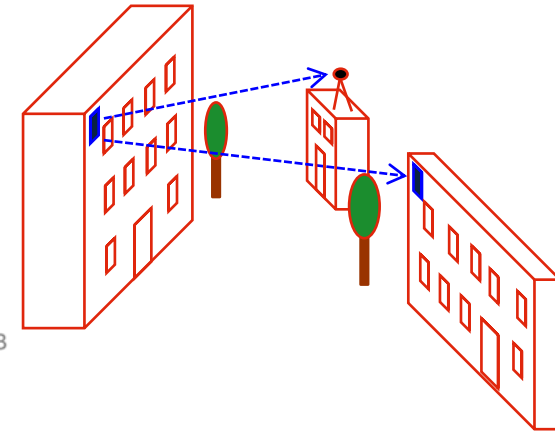
The Wireless Roadmap



100Gb/s  
Wireless Backhaul



100Gb/s  
Urban P2P Links



100Gb/s through...

... **ultra-large bandwidth**

- ⚡ THz carrier frequencies
- ⚡ Analog frontend bandwidth
- ⚡ DAC/ADC sampling rate

... large

**3D-LOS MIMO**

Antenna Topologies

&

... parallelized

**"Lanes"-Based**

MIMO Signal Processing

... **high-order modulation**

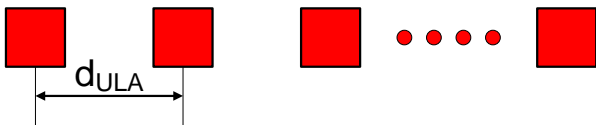
- ⚡ Link budget
- ⚡ PA output back-off
- ⚡ DAC/ADC resolution



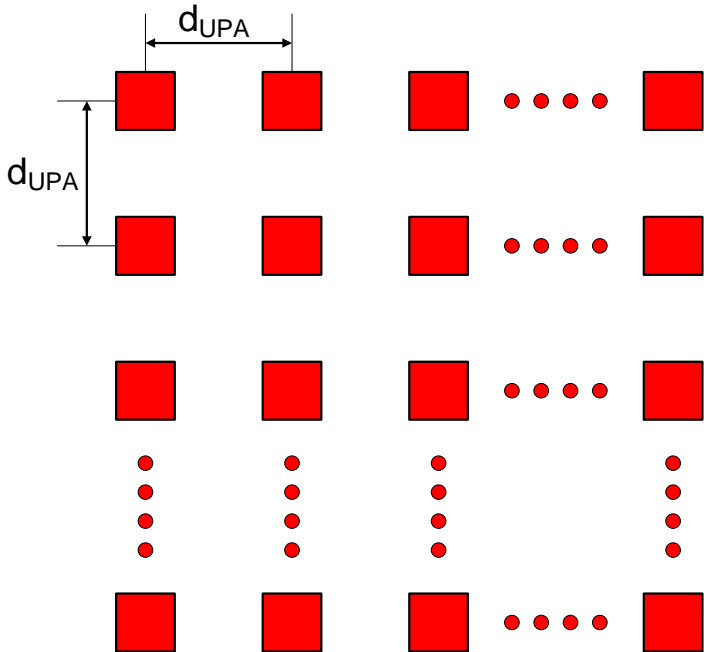
# 1D / 2D-LOS MIMO Antennas @ 60GHz

1D and 2D topologies (examples): ULA and UPA

$$d_{ULA} = \sqrt{\frac{\lambda \cdot r}{m}} \text{ for } m \text{ antennas}$$



$d_{ULA} = 17.7 / 7.1 \text{cm}$  for 16 / 100 antennas  
at a distance of 100m for an optimum MIMO  
capacity (16 / 100 parallel channels)

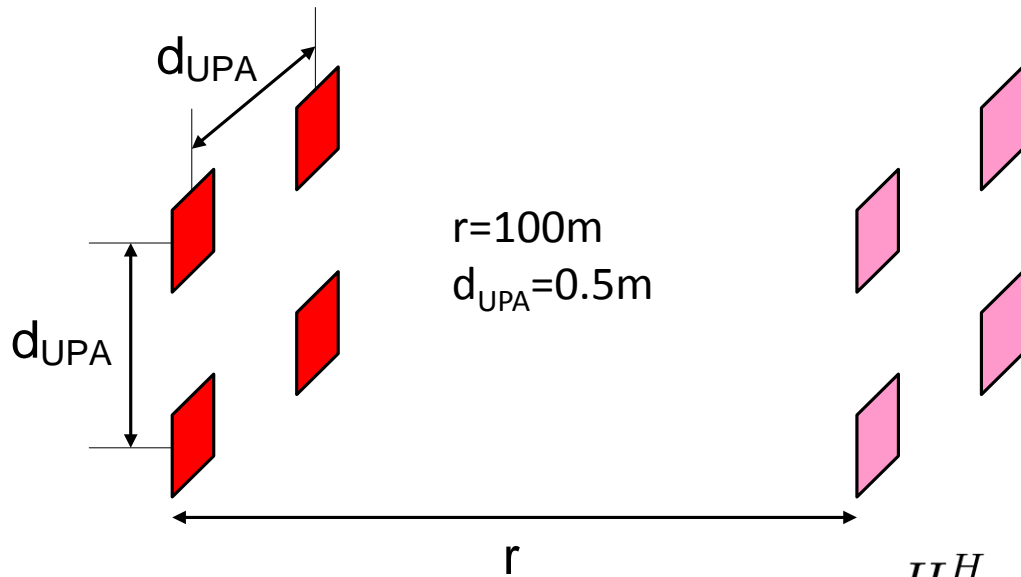


$$d_{UPA} = \sqrt{\frac{\lambda \cdot r}{n}} \text{ for } n \times n \text{ antennas}$$

$d_{UPA} = 35.4 / 22.4 \text{cm}$  for 16 / 100 antennas  
at a distance of 100m for an optimum MIMO  
capacity (16 / 100 parallel channels)

# 2D-LOS MIMO Antennas @ 60GHz

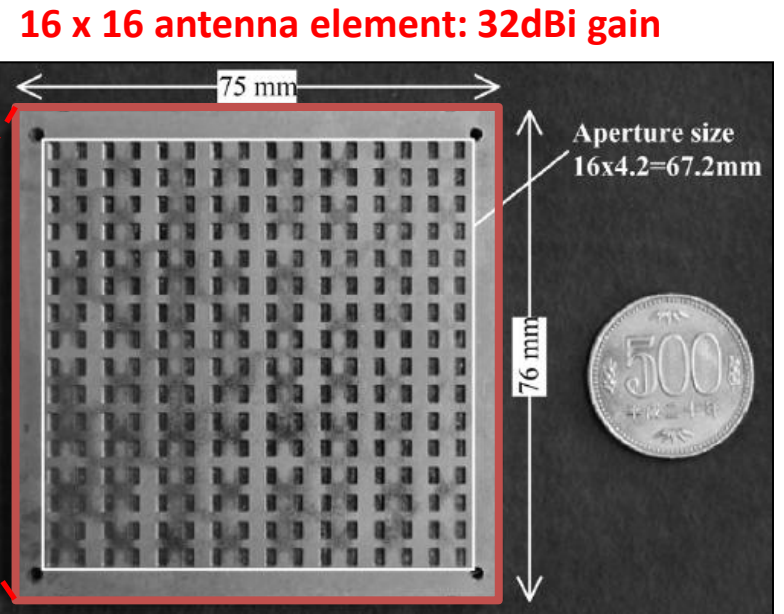
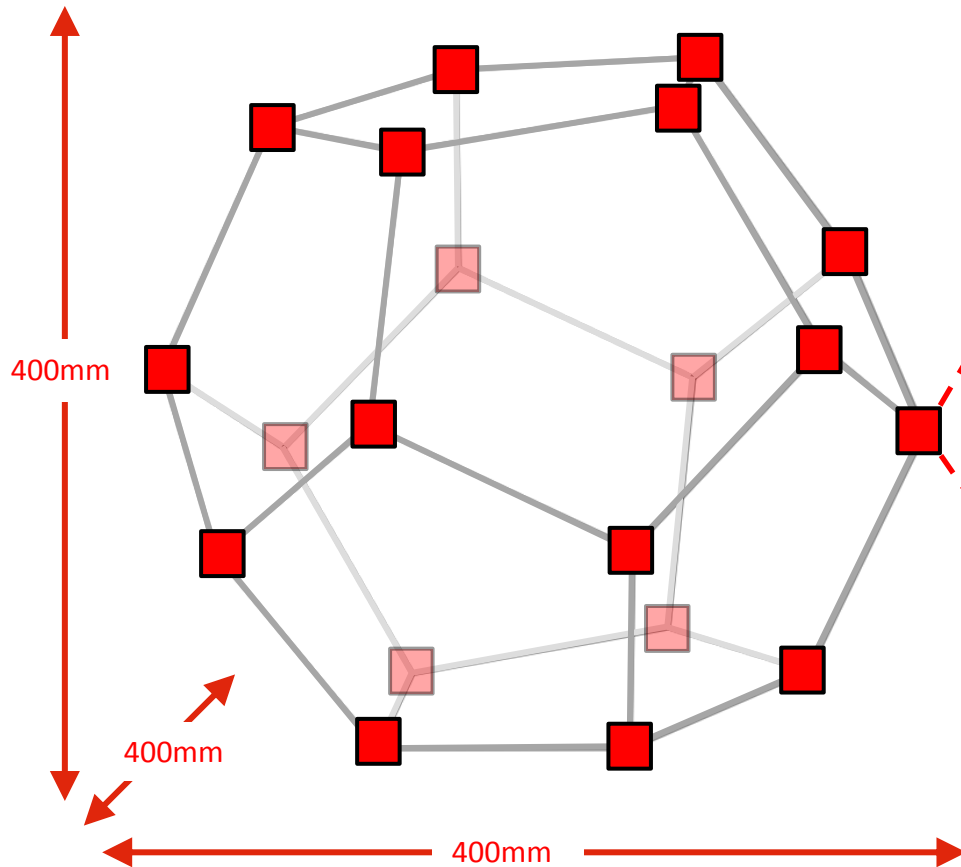
2x2 UPA example with optimal antenna element separation:



$$\begin{aligned}
 H^H \cdot H &= Q^H \cdot \Lambda \cdot Q \\
 \Lambda &= a_F \cdot \text{diag}(4, 4, 4, 4) \\
 \frac{C}{B} &= \sum_i^4 \log_2 \left( 1 + \lambda_i \cdot \frac{S}{4 \cdot N} \right)
 \end{aligned}$$

# 3D-LOS MIMO Antenna @ 60GHz

3D topology (example): **dodecahedron** of 20 antenna elements



Y. Miura, J. Hirokawa, M. Ando, Y. Shibuya, G. Yoshida,  
"Double-Layer Full-Corporate-Feed Hollow-Waveguide  
Slot Array Antenna in the 60-GHz Band,"  
IEEE Trans. on Antennas and Propagation, 59(8), 2011.

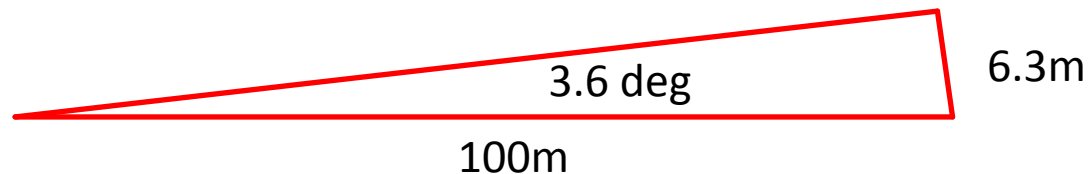


# MIMO Antennas @ 60GHz

Directivity and antenna effective aperture:  $D = \frac{4\pi A_{eff}}{\lambda^2}$

Directivity and beam width:  $D \approx \frac{4\pi}{\Phi_{HP} \cdot \theta_{HP}}$

Example for a 35dBi antenna at 60GHz:  $A_{eff} = 6.3 \cdot 10^{-3} m^2 \hat{=} 8 \times 8 cm$   
 $\Phi_{HP} = \theta_{HP} = 6.3 \cdot 10^{-2} rad \hat{=} 3.6 deg$





# Link Budget: 100Gb/s LOS MIMO @ 60GHz

System parameters	Modulation alphabet	-	4-QAM	4-QAM	4-QAM	4-QAM	4-QAM
	<b>Modulation raw spectral efficiency</b>	<b>bpcu</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>	<b>2</b>
	<b>Number of spatial streams</b>	<b>-</b>	<b>41</b>	<b>55</b>	<b>82</b>	<b>163</b>	<b>325</b>
	Channel bandwidth	GHz	2,16	1,62	1,08	0,54	0,27
	Symbol rate	GHz	1,728	1,296	0,864	0,432	0,216
	Code rate	-	3/4	3/4	3/4	3/4	3/4
	Frame overhead (preamble, pilots, etc.)	%	5,0	5,0	5,0	5,0	5,0
	<b>Effective data rate</b>	<b>Gb/s</b>	<b>100,96</b>	<b>101,57</b>	<b>100,96</b>	<b>100,34</b>	<b>100,04</b>
Spectral efficiency	bit/s/Hz	46,74	62,70	93,48	185,82	370,50	
Ant. Gain	Tx Antenna element gain	dBi	35	35	35	35	35
	Rx Antenna element gain	dBi	35	35	35	35	35
Tx Power	Output power of the amplifier	dBm	-11,1	-12,4	-14,1	-17,1	-20,1
	Tx power (EIRP) per stream	dBm	23,9	22,6	20,9	17,9	14,9
	Tx power density (EIRP) per stream	dBm/MHz	-9,1	-10,4	-12,1	-15,1	-18,1
	<b>Tx power (EIRP) &lt;= 40 dBm !</b>	<b>dBm</b>	<b>40,0</b>	<b>40,0</b>	<b>40,0</b>	<b>40,0</b>	<b>40,0</b>
Path loss	Reference distance	m	0,10	0,10	0,10	0,10	0,10
	Path loss at ref. distance	dB	47	47	47	47	47
	Path loss exponent		2	2	2	2	2
	Margin for rain attenuation	dB	3	3	3	3	3
Noise and impl. losses	Thermal noise power (-174dBm/Hz)	dBm	-81	-82	-84	-87	-90
	Rx noise figure	dB	8	8	8	8	8
	<b>Average noise power</b>	<b>dBm</b>	<b>-73</b>	<b>-74</b>	<b>-76</b>	<b>-79</b>	<b>-82</b>
	Analog losses (fronted, antenna)	dB	6	6	6	6	6
	Digital losses (sync, channel estimation, etc.)	dB	3	3	3	3	3
BER 10 <sup>-4</sup>	Required SNR (AWGN channel)	dB	11,4	11,4	11,4	11,4	11,4
	<b>Operating range</b>	<b>m</b>	<b>114,23</b>	<b>113,56</b>	<b>114,36</b>	<b>114,50</b>	<b>114,64</b>

← 40 ... 400 antenna elements

← 2 ... 0.2GHz bandwidth

← 100Gb/s PHY throughput

← min. 35dBi ant. element gain  
(German regulation for 60 GHz outdoor P2P links)

← max. 40dBm EIRP  
(German regulation for 60 GHz outdoor P2P links)

m parallel SISO channels  
assumed

← 100m range



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	Path loss at ref. distance	dB	47	47	47	47	47
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← 40...400 antenna elements

← 2 ... 0.2GHz bandwidth

← 100Gb/s PHY throughput

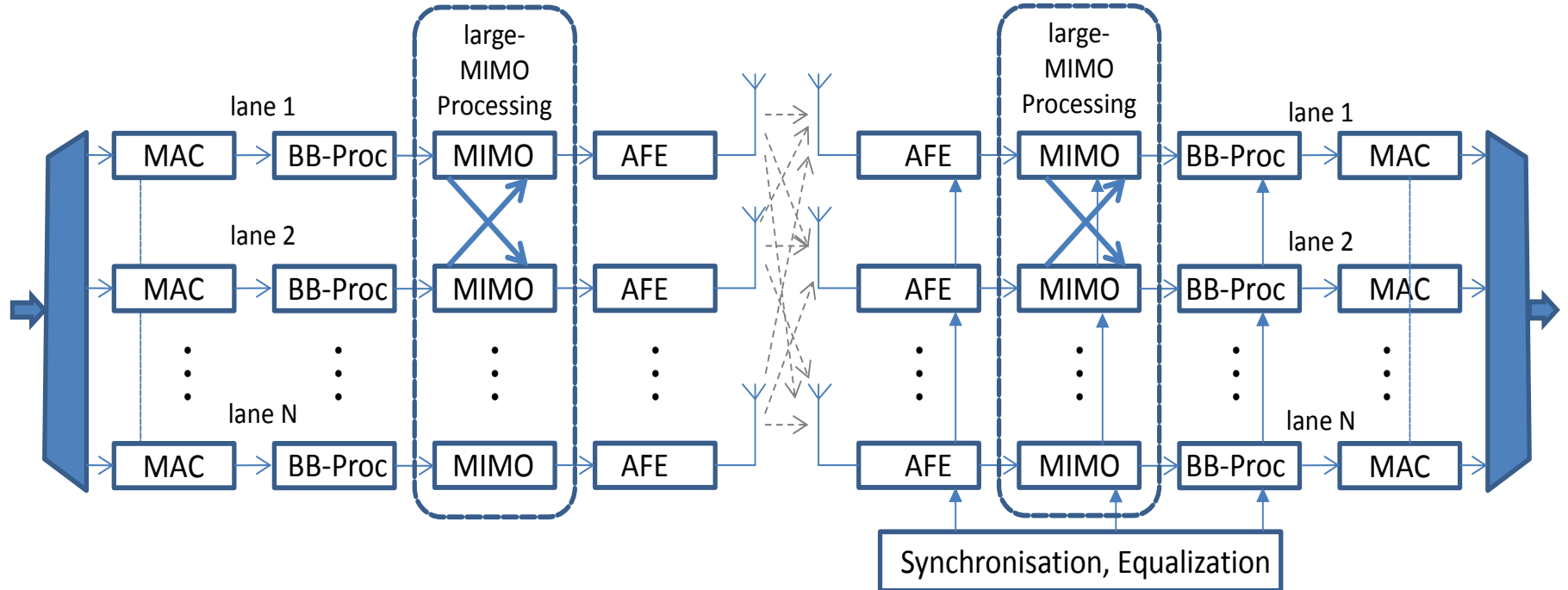
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← max. 40dBm EIRP  
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m parallel SISO channels assumed

← 100m range

# Architecture of the maximum MIMO System

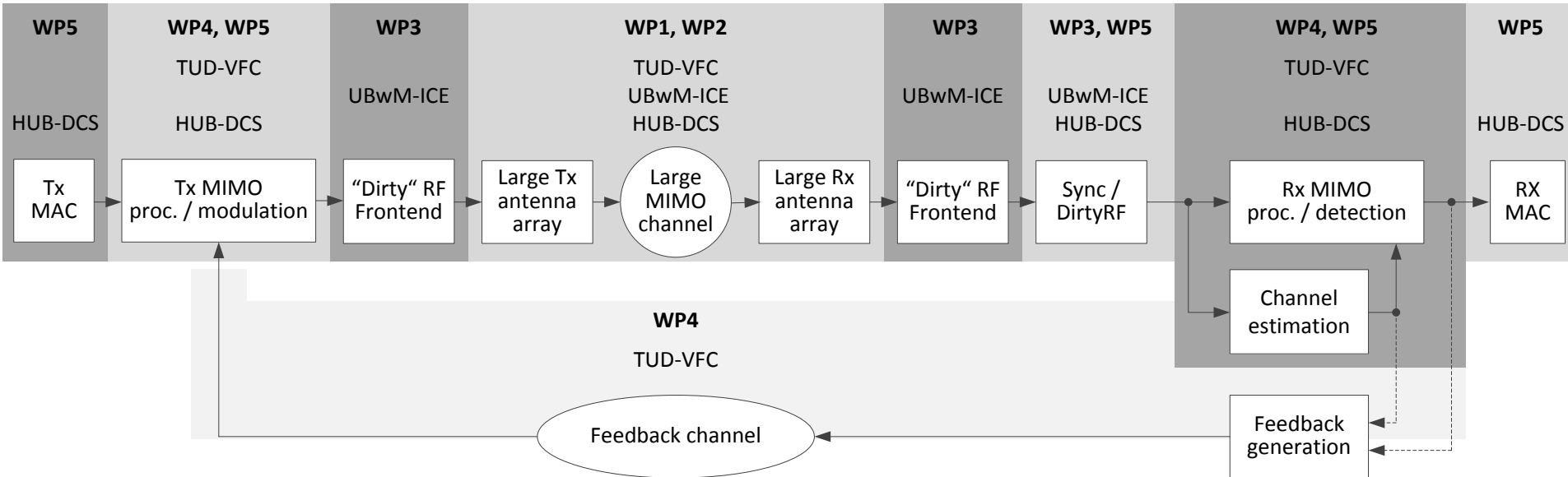


## Challenges:

- Antenna design and optimization (partitioning)
- Channel characterization (for LOS-MIMO + 3D Antennas)
- massive MIMO processing (algorithms + implementation)
- Scalable parallel implementation of BB-processor and MAC,...



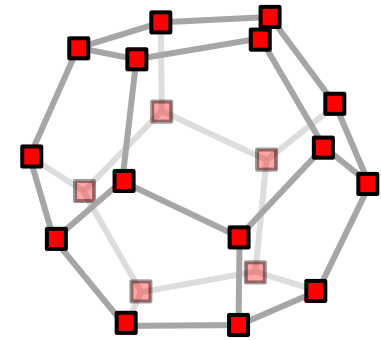
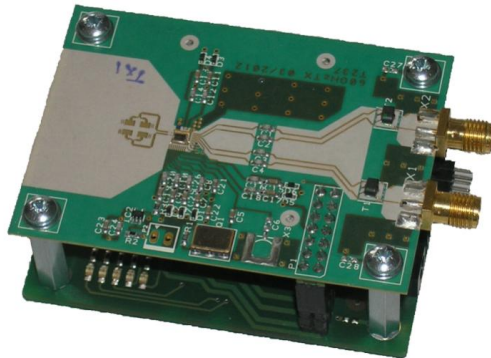
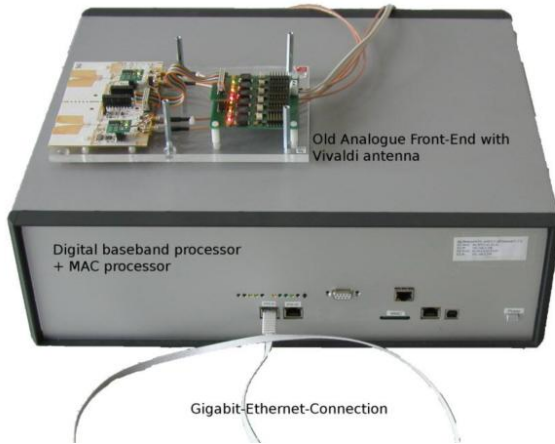
# Work split in the maximumMIMO Project



## Challenges:

- Dirty RF frontend and related signal processing algorithms
- Synchronization concepts
- Optimized low effort MIMO processing
- Scalable parallel implementation of BB-processor and MAC,...

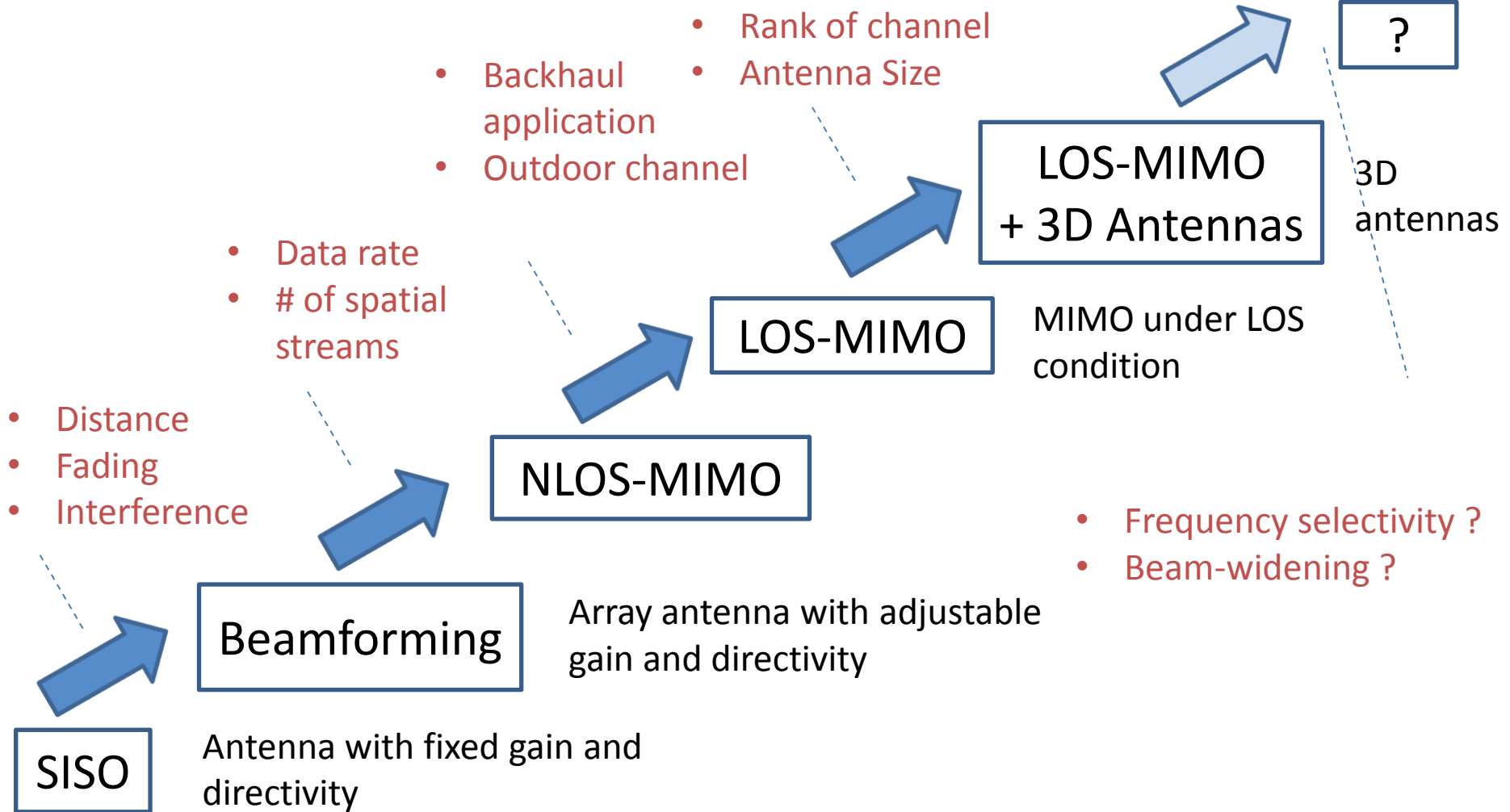
# Planned Demonstrator using 60 GHz Analog Front Ends



Available compact 60 GHz frontend module with patch array antenna and power supply

Planned small scale Demonstrator using 60 GHz technology and 4...8 antennas

# Trends: Transmission Schemes





# Target outcome: maximum MIMO will...

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...identify fundamental design paradigms for wireless communication systems that use very large antenna arrays with 3D topology at the transmitter and receiver to maximize the bandwidth efficiency

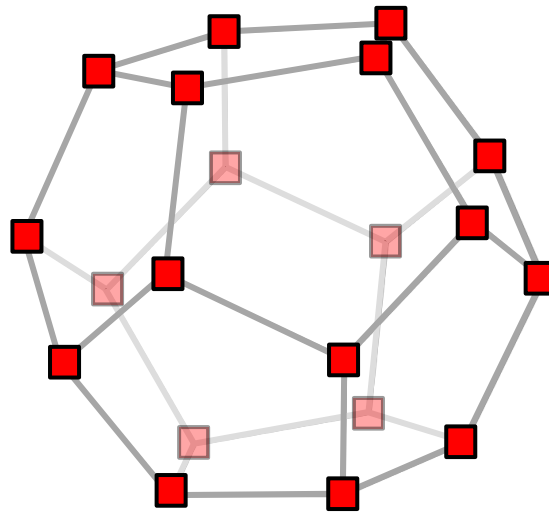
...derive information-theoretic concepts for robust 3D antenna topologies and highly-parallelized MIMO processing schemes, focusing on the fundamental limit for an arbitrary number of antenna elements.

...validate the theoretical concepts for practical applications, i.e., for antennas with 50 to 1000 elements.

...consider LOS transmission at 60GHz as an application example, which will be extended to non-LOS transmission, e.g., for 100 Gb/s cellular communications, in the second phase of the project.

# maximumMIMO

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Thank you!



# MIMO Basics

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SISO channel with power  $S$ :

$$\frac{C}{B} = \log_2 \left( 1 + \frac{S}{N} \right)$$

$m$  parallel SISO channels with power  $\frac{S}{m}$  each:

$$\frac{C}{B} = m \log_2 \left( 1 + \frac{S}{mN} \right)$$

MIMO case parallel channels ( $m$  Tx and  $m$  RX ant.):

$$\frac{C}{B} = m \log_2 \left( 1 + \frac{S}{N} \right)$$

MIMO case keyhole ( $m$  TX and  $m$  RX ant.):

$$\frac{C}{B} = \log_2 \left( 1 + \frac{mS}{N} \right)$$